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PATENT

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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Christine Sherwood  
Signature

Applicant : Philip J. Kellman, Ph.D.  
Application No. : 10/020,718  
Filed : December 14, 2001  
Title : SYSTEM AND METHOD FOR ADAPTIVE  
LEARNING  
Grp./Div. : 3714  
Examiner : Chanda L. Harris  
Docket No. : 42055/PAN/K415

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JUN 02 2003

TECHNOLOGY CENTER R3700

INFORMATION DISCLOSURE STATEMENT AND  
CERTIFICATION UNDER § 1.97(e)(1)

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Post Office Box 7068  
Pasadena, CA 91109-7068  
May 22, 2003

Commissioner:

In compliance with the duty of disclosure under 37 CFR §§ 1.56, 1.97 and 1.98, and in accordance with the provisions in the Manual of Patent Examining Procedure §§ 609 and 707.05(b), enclosed is FORM PTO/SB/08A/B with a listing of references that are known to applicant. Copies of each of the listed references are enclosed. Also enclosed is a copy of the International Search Report.

It is respectfully requested that these references be considered in the examination of this application and identified on the list of references cited on the patent issuing on this application. Applicant also requests that an initialed copy of said FORM PTO/SB/08A/B be entered in the application file and returned to applicant with the next communication from the Office in accordance with MPEP § 609.


Applicant's undersigned attorney hereby certifies, in accordance with 37 CFR § 1.97(e)(1), that each item of information contained in the information disclosure statement was first cited

**Application No.10/020,718**

in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement.

Respectfully submitted,

CHRISTIE, PARKER & HALE, LLP

By 

Peter A. Nichols

Reg. No. 47,822

626/795-9900

PAN/cks

Enclosures: PTO/SB/08A/B, w/references

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